

Sliding tokens on a cactus

Duc A. Hoang Ryuhei Uehara

December 12–14, 2016

Japan Advanced Institute of Science and Technology
Asahidai 1-1, Nomi, Ishikawa 923-1292, Japan.
{hoanganhduc, uehara}@jaist.ac.jp

- Reconfiguration Problems.
- The SLIDING TOKEN problem for a cactus.
- Interesting open questions.

Reconfiguration Problems

- INSTANCE:
 1. Collection of configurations.
 2. Allowed transformation rule(s).
- QUESTION: Decide if configuration A can be transformed to configuration B using the given rule(s), while maintaining a configuration throughout.

- INSTANCE:
 1. Collection of configurations.
 2. Allowed transformation rule(s).
- QUESTION: Decide if configuration A can be transformed to configuration B using the given rule(s), while maintaining a configuration throughout.

configuration A

8	15	13	3
10		14	7
5	1	2	4
9	12	11	6

configuration B

1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	

Figure 1: The 15-puzzles. (In general, the $(k^2 - 1)$ -puzzles.)

- INSTANCE:
 1. Collection of configurations.
 2. Allowed transformation rule(s).
- QUESTION: Decide if configuration A can be transformed to configuration B using the given rule(s), while maintaining a configuration throughout.

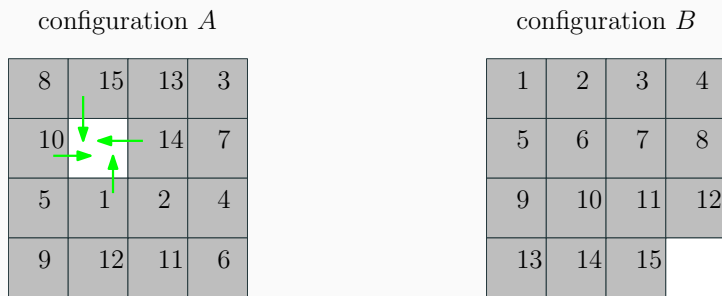


Figure 1: The 15-puzzles. (In general, the $(k^2 - 1)$ -puzzles.)

- INSTANCE:
 1. Collection of configurations.
 2. Allowed transformation rule(s).
- QUESTION: Decide if configuration A can be transformed to configuration B using the given rule(s), while maintaining a configuration throughout.

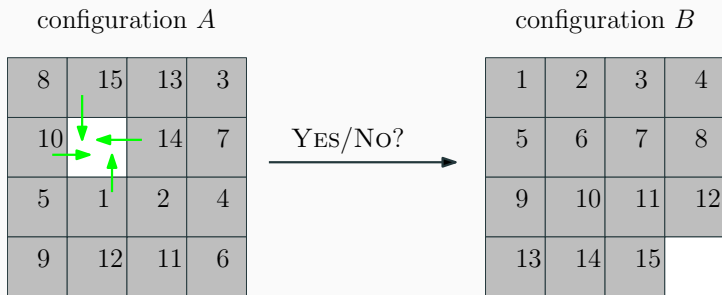


Figure 1: The 15-puzzles. (In general, the $(k^2 - 1)$ -puzzles.)

- INSTANCE:
 1. Collection of configurations.
 2. Allowed transformation rule(s).
- QUESTION: Decide if configuration A can be transformed to configuration B using the given rule(s), while maintaining a configuration throughout.

¹ 8	² 15	³ 13	⁴ 3
⁵ 10	⁶	⁷ 14	⁸ 7
⁹ 5	¹⁰ 1	¹¹ 2	¹² 4
¹³ 9	¹⁴ 12	¹⁵ 11	¹⁶ 6

Parity (even/odd) Checking ($O(n)$ time)

(1, 8, 7, 14, 12, 4, 3, 13, 9, 5, 10)(2, 15, 11)(6, 16)

Figure 1: The 15-puzzles. (In general, the $(k^2 - 1)$ -puzzles.)

- INSTANCE:
 1. Collection of configurations.
 2. Allowed transformation rule(s).
- QUESTION: Decide if configuration A can be transformed to configuration B using the given rule(s), while maintaining a configuration throughout.

¹ 8	² 15	³ 13	⁴ 3
⁵ 10	⁶	⁷ 14	⁸ 7
⁹ 5	¹⁰ 1	¹¹ 2	¹² 4
¹³ 9	¹⁴ 12	¹⁵ 11	¹⁶ 6

Parity (even/odd) Checking ($O(n)$ time)

If YES, need at most $O(n^3)$ moves.

[Kornhauser, Miller, and Spirakis 1984]

(1, 8, 7, 14, 12, 4, 3, 13, 9, 5, 10)(2, 15, 11)(6, 16)

Figure 1: The 15-puzzles. (In general, the $(k^2 - 1)$ -puzzles.)

- INSTANCE:
 1. Collection of configurations.
 2. Allowed transformation rule(s).
- QUESTION: Decide if configuration A can be transformed to configuration B using the given rule(s), while maintaining a configuration throughout.

¹ 8	² 15	³ 13	⁴ 3
⁵ 10	⁶	⁷ 14	⁸ 7
⁹ 5	¹⁰ 1	¹¹ 2	¹² 4
¹³ 9	¹⁴ 12	¹⁵ 11	¹⁶ 6

Parity (even/odd) Checking ($O(n)$ time)

If YES, need at most $O(n^3)$ moves.

[Kornhauser, Miller, and Spirakis 1984]

Find minimum number of moves? - NP-complete

[Ratner and Warmuth 1990]

(1, 8, 7, 14, 12, 4, 3, 13, 9, 5, 10)(2, 15, 11)(6, 16)

Figure 1: The 15-puzzles. (In general, the $(k^2 - 1)$ -puzzles.)

- INSTANCE:
 1. Collection of configurations.
 2. Allowed transformation rule(s).
- QUESTION: Decide if configuration A can be transformed to configuration B using the given rule(s), while maintaining a configuration throughout.

Reconfiguration variants have been studied for several well-known problems:

- SATISFIABILITY,
- INDEPENDENT SET, VERTEX COVER, CLIQUE,
- VERTEX-COLORING, (LIST) EDGE-COLORING,
- and so on.

Recent Survey on Reconfiguration Problems

Jan van den Heuvel (2013). "The complexity of change". In: *Surveys in Combinatorics 2013*. Ed. by Simon R. Blackburn et al. Cambridge University Press, pp. 127–160

The SLIDING TOKEN **problem** for a cactus

- INSTANCE:
 - Collection of **independent sets** of a graph.
 - Allowed **transformation rule**: Token Sliding (TS).
- QUESTION: Decide if there exists a sequence of independent sets (called a TS-sequence) $\mathcal{S} = \langle \mathbf{I}_1, \mathbf{I}_2, \dots, \mathbf{I}_\ell \rangle$ that transforms (reconfigures) $\mathbf{I} = \mathbf{I}_1$ to $\mathbf{J} = \mathbf{I}_\ell$, where \mathbf{I}_{i+1} is obtained from \mathbf{I}_i by sliding a token from a vertex $u \in \mathbf{I}_i \setminus \mathbf{I}_{i+1}$ to its neighbor $v \in \mathbf{I}_{i+1} \setminus \mathbf{I}_i$, $i \in \{1, \dots, \ell - 1\}$.

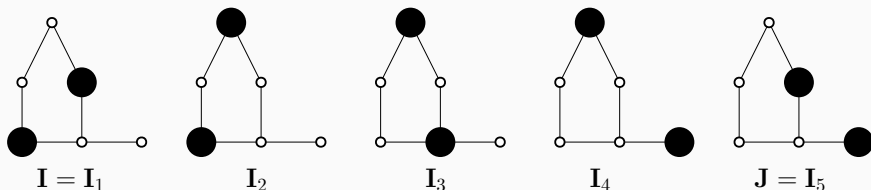


Figure 2: A TS-sequence that reconfigures $\mathbf{I} = \mathbf{I}_1$ to $\mathbf{J} = \mathbf{I}_5$. Vertices of an independent set are marked with black circles (tokens).

Complexity status of SLIDING TOKEN

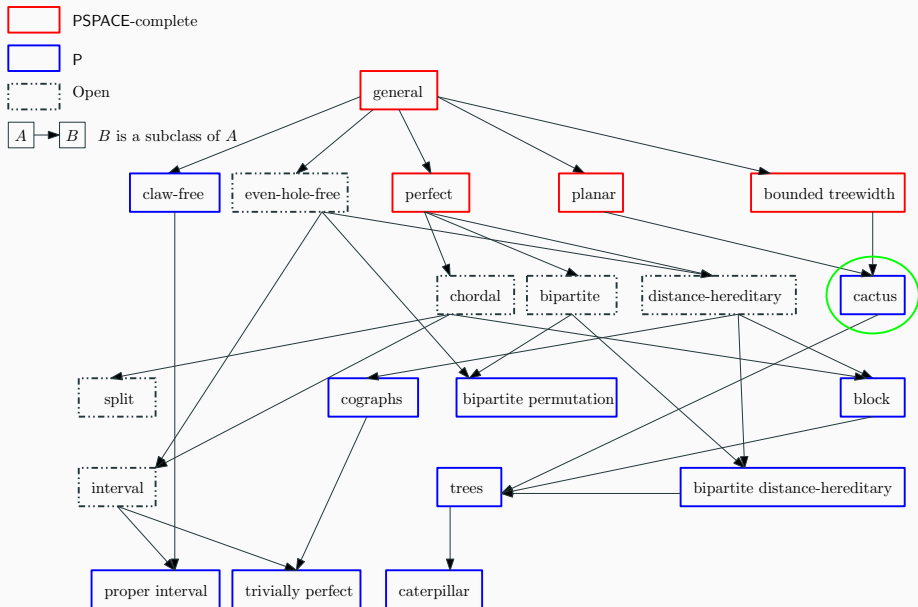


Figure 3: Complexity status of SLIDING TOKEN.

A **cactus** is a graph such that every **block** (i.e., maximal biconnected subgraph) is either **an edge** or **a simple cycle**.

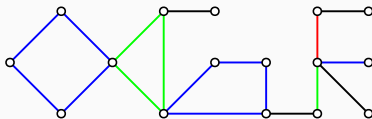


Figure 4: A cactus and its blocks. Two blocks sharing the same vertex are of different colors.

Why study SLIDING TOKEN for a cactus?

There are a few reasons that motivate our study.

1. We want to understand **Intractability** vs **Polynomial-time tractability** of SLIDING TOKEN for bounded-treewidth/planar graphs and their subclasses: Before cacti, the “largest” subclass with polynomial-time tractability is trees.

There are a few reasons that motivate our study.

1. We want to understand **Intractability** vs **Polynomial-time tractability** of SLIDING TOKEN for bounded-treewidth/planar graphs and their subclasses: Before cacti, the “largest” subclass with polynomial-time tractability is trees.
2. Even for trees, a token sometimes needs to make “detours” to preserve the independence property. **In general, there might be a YES-instance that requires super-polynomial number of token-slides.** (see [Demaine et al. 2015])

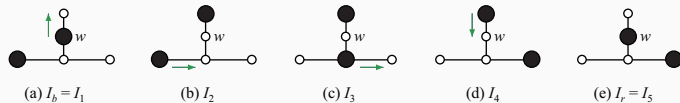


Figure 5: Detours in a tree.

There are a few reasons that motivate our study.

1. We want to understand **Intractability** vs **Polynomial-time tractability** of SLIDING TOKEN for bounded-treewidth/planar graphs and their subclasses: Before cacti, the “largest” subclass with polynomial-time tractability is trees.
2. Even for trees, a token sometimes needs to make “detours” to preserve the independence property. **In general, there might be a YES-instance that requires super-polynomial number of token-slides.** (see [Demaine et al. 2015])

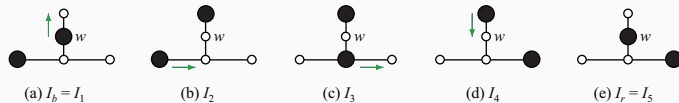


Figure 5: Detours in a tree.

3. In a cactus, there might be more than one path connecting two given vertices. It follows that **there might be exponential number of “routes” that a token can be moved.**

Given an instance $(G, \mathbf{I}, \mathbf{J})$ of SLIDING TOKEN, where \mathbf{I} and \mathbf{J} are independent sets of a cactus G , we can

1. Characterize all structures that forbid the existence of a TS-sequence between \mathbf{I} and \mathbf{J} in polynomial time.
 - A token that cannot be slid at all (called a (G, \mathbf{I}) -rigid token).
 - A cycle whose inside-tokens form a maximum independent set of it and no token can be slid “out” or “in” (called a (G, \mathbf{I}) -confined cycle).
2. Prove the existence of a TS-sequence between \mathbf{I} and \mathbf{J} when no such structures exist.

Lemma 1

One can find all (G, \mathbf{I}) -rigid tokens in $O(n^2)$ time, where $n = |V(G)|$. Without (G, \mathbf{I}) -rigid tokens, one can find all (G, \mathbf{I}) -confined cycles in $O(n^2)$ time.

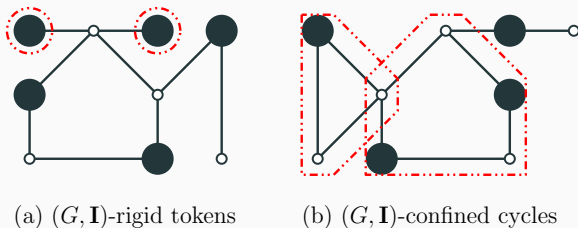


Figure 6: Examples of the forbidden structures.

Lemma 2

If the set of (G, \mathbf{I}) -rigid tokens and (G, \mathbf{J}) -rigid tokens are different, then it is a NO-instance. Without (G, \mathbf{I}) -rigid and (G, \mathbf{J}) -rigid tokens, if the set of (G, \mathbf{I}) -confined cycles and (G, \mathbf{J}) -confined cycles are different, then it is a NO-instance.

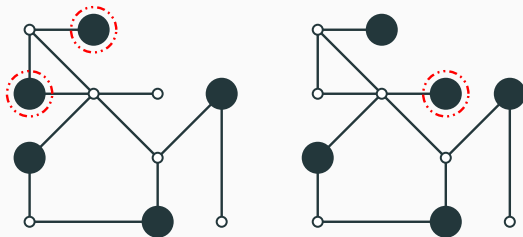


Figure 7: The set of (G, \mathbf{I}) -rigid tokens and (G, \mathbf{J}) -rigid tokens are different.

Lemma 2

If the set of (G, \mathbf{I}) -rigid tokens and (G, \mathbf{J}) -rigid tokens are different, then it is a NO-instance. Without (G, \mathbf{I}) -rigid and (G, \mathbf{J}) -rigid tokens, if the set of (G, \mathbf{I}) -confined cycles and (G, \mathbf{J}) -confined cycles are different, then it is a NO-instance.

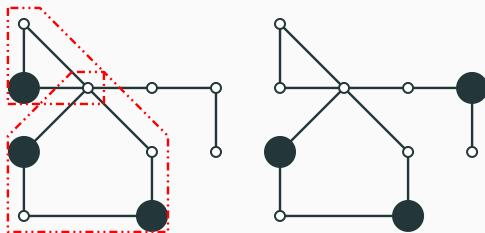


Figure 8: The set of (G, \mathbf{I}) -confined cycles and (G, \mathbf{J}) -confined cycles are different.

Lemma 3

Without rigid tokens and confined cycles (for both \mathbf{I} and \mathbf{J}), \mathbf{I} can be reconfigured to \mathbf{J} if and only if $|\mathbf{I}| = |\mathbf{J}|$.

Proof Idea: Construct an “intermediate” independent set \mathbf{I}^* such that both \mathbf{I} and \mathbf{J} can be reconfigured to \mathbf{I}^* .

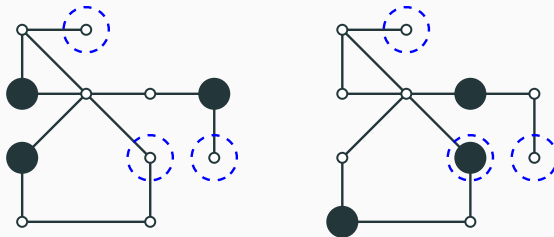


Figure 9: Illustration of **Lemma 3**.

Lemmas 1–3 give rise to the following polynomial-time algorithm. For an instance $(G, \mathbf{I}, \mathbf{J})$ of SLIDING TOKEN, where G is a cactus and \mathbf{I}, \mathbf{J} are two independent sets of G .

- **Step 1:**
 - **Step 1-1:** If the set of (G, \mathbf{I}) -rigid tokens and (G, \mathbf{J}) -rigid tokens are different, return NO.
 - **Step 1-2:** Otherwise, remove all vertices where (G, \mathbf{I}) -rigid tokens are placed and its neighbors, and go to **Step 2**. Let G' be the resulting graph.
- **Step 2:**
 - **Step 2-1:** If the set of $(G', \mathbf{I} \cap G')$ -confined cycles and $(G', \mathbf{J} \cap G')$ -confined cycles are different, return NO.
 - **Step 2-2:** Otherwise, remove all $(G', \mathbf{I} \cap G')$ -confined cycles, and go to **Step 3**. Let G'' be the resulting graph.
- **Step 3:** If $|\mathbf{I} \cap F| \neq |\mathbf{J} \cap F|$ for some component F of G'' then return NO. Otherwise, return YES.

Lemmas 1–3 give rise to the following polynomial-time algorithm. For an instance $(G, \mathbf{I}, \mathbf{J})$ of SLIDING TOKEN, where G is a cactus and \mathbf{I}, \mathbf{J} are two independent sets of G .

- **Step 1:**
 - **Step 1-1:** If the set of (G, \mathbf{I}) -rigid tokens and (G, \mathbf{J}) -rigid tokens are different, return NO.
 - **Step 1-2:** Otherwise, remove all vertices where (G, \mathbf{I}) -rigid tokens are placed and its neighbors, and go to **Step 2**. Let G' be the resulting graph.
- **Step 2:**
 - **Step 2-1:** If the set of $(G', \mathbf{I} \cap G')$ -confined cycles and $(G', \mathbf{J} \cap G')$ -confined cycles are different, return NO.
 - **Step 2-2:** Otherwise, remove all $(G', \mathbf{I} \cap G')$ -confined cycles, and go to **Step 3**. Let G'' be the resulting graph.
- **Step 3:** If $|\mathbf{I} \cap F| \neq |\mathbf{J} \cap F|$ for some component F of G'' then return NO. Otherwise, return YES.

Lemmas 1–3 give rise to the following polynomial-time algorithm. For an instance $(G, \mathbf{I}, \mathbf{J})$ of SLIDING TOKEN, where G is a cactus and \mathbf{I}, \mathbf{J} are two independent sets of G .

- **Step 1:**
 - **Step 1-1:** If the set of (G, \mathbf{I}) -rigid tokens and (G, \mathbf{J}) -rigid tokens are different, return NO.
 - **Step 1-2:** Otherwise, remove all vertices where (G, \mathbf{I}) -rigid tokens are placed and its neighbors, and go to **Step 2**. Let G' be the resulting graph.
- **Step 2:**
 - **Step 2-1:** If the set of $(G', \mathbf{I} \cap G')$ -confined cycles and $(G', \mathbf{J} \cap G')$ -confined cycles are different, return NO.
 - **Step 2-2:** Otherwise, remove all $(G', \mathbf{I} \cap G')$ -confined cycles, and go to **Step 3**. Let G'' be the resulting graph.
- **Step 3:** If $|\mathbf{I} \cap F| \neq |\mathbf{J} \cap F|$ for some component F of G'' then return NO. Otherwise, return YES.

Lemmas 1–3 give rise to the following polynomial-time algorithm. For an instance $(G, \mathbf{I}, \mathbf{J})$ of SLIDING TOKEN, where G is a cactus and \mathbf{I}, \mathbf{J} are two independent sets of G .






- **Step 1:**
 - **Step 1-1:** If the set of (G, \mathbf{I}) -rigid tokens and (G, \mathbf{J}) -rigid tokens are different, return NO.
 - **Step 1-2:** Otherwise, remove all vertices where (G, \mathbf{I}) -rigid tokens are placed and its neighbors, and go to **Step 2**. Let G' be the resulting graph.
- **Step 2:**
 - **Step 2-1:** If the set of $(G', \mathbf{I} \cap G')$ -confined cycles and $(G', \mathbf{J} \cap G')$ -confined cycles are different, return NO.
 - **Step 2-2:** Otherwise, remove all $(G', \mathbf{I} \cap G')$ -confined cycles, and go to **Step 3**. Let G'' be the resulting graph.
- **Step 3:** If $|\mathbf{I} \cap F| \neq |\mathbf{J} \cap F|$ for some component F of G'' then return NO. Otherwise, return YES.

Lemmas 1–3 give rise to the following polynomial-time algorithm. For an instance $(G, \mathbf{I}, \mathbf{J})$ of SLIDING TOKEN, where G is a cactus and \mathbf{I}, \mathbf{J} are two independent sets of G .

- **Step 1:**
 - **Step 1-1:** If the set of (G, \mathbf{I}) -rigid tokens and (G, \mathbf{J}) -rigid tokens are different, return NO.
 - **Step 1-2:** Otherwise, remove all vertices where (G, \mathbf{I}) -rigid tokens are placed and its neighbors, and go to **Step 2**. Let G' be the resulting graph.
- **Step 2:**
 - **Step 2-1:** If the set of $(G', \mathbf{I} \cap G')$ -confined cycles and $(G', \mathbf{J} \cap G')$ -confined cycles are different, return NO.
 - **Step 2-2:** Otherwise, remove all $(G', \mathbf{I} \cap G')$ -confined cycles, and go to **Step 3**. Let G'' be the resulting graph.
- **Step 3:** If $|\mathbf{I} \cap F| \neq |\mathbf{J} \cap F|$ for some component F of G'' then return NO. Otherwise, return YES.

Interesting open questions

1. SLIDING TOKEN for **bipartite graphs** is still open. Unlike a cactus, two cycles of a bipartite graph may have more than one vertex in common. Polynomial results are known for bipartite permutation graphs [Fox-Epstein et al. 2015].
2. Given a YES-instance, **finding a shortest TS-sequence** is open **even for trees**. The only known polynomial result regarding this problem is the case for caterpillars [Yamada and Uehara 2016].
3. It is interesting to find a graph class \mathcal{G} with the property that SLIDING TOKEN is **polynomial-time solvable** for \mathcal{G} , and finding a shortest TS-sequence for \mathcal{G} is **NP-hard**. We conjecture that \mathcal{G} might be **cacti**.

-  Demaine, Erik D., Martin L. Demaine, Eli Fox-Epstein, Duc A. Hoang, Takehiro Ito, Hirotaka Ono, Yota Otachi, Ryuhei Uehara, and Takeshi Yamada (2015). “Linear-time algorithm for sliding tokens on trees”. In: *Theoretical Computer Science* 600, pp. 132–142.
-  Fox-Epstein, Eli, Duc A. Hoang, Yota Otachi, and Ryuhei Uehara (2015). “Sliding Token on Bipartite Permutation Graphs”. In: *Algorithms and Computation - ISAAC 2015*. Ed. by Khaled Elbassioni and Kazuhisa Makino. Vol. 9472. LNCS. Springer, pp. 237–247.
-  Kornhauser, Daniel, Gary L. Miller, and Paul Spirakis (1984). “Coordinating Pebble Motion on Graphs, The Diameter of Permutation Groups, and Applications”. In: *25th Annual Symposium on Foundations of Computer Science*, pp. 241–250.
-  Ratner, Daniel and Manfred Warmuth (1990). “The $(n^2 - 1)$ -puzzle and related relocation problems”. In: *Journal of Symbolic Computation* 10.2, pp. 111–137.
-  van den Heuvel, Jan (2013). “The complexity of change”. In: *Surveys in Combinatorics 2013*. Ed. by Simon R. Blackburn, Stefanie Gerke, and Mark Wildon. Cambridge University Press, pp. 127–160.



Yamada, Takeshi and Ryuhei Uehara (2016). "Shortest Reconfiguration of Sliding Tokens on a Caterpillar". In: *Algorithms and Computation - WALCOM 2016*. Ed. by Mohammad Kaykobad and Rossella Petreschi. Vol. 9627. LNCS. Springer, pp. 236–248.